

# First 5 IMPACT 101: Improve and Maximize Programs so All Children Thrive

"IMPACT is a systemic way of helping improve or advance local and statewide quality."

**July 2015** 





# First 5 IMPACT Overview and Design

#### What is First 5 IMPACT?

- \$190 million over 5 years
- Centered around Continuous Quality Improvement, including a network of local Quality Rating and Improvement Systems (QRIS), that better coordinate, implement, and assess early learning programs
- Builds on the Race to the-Top Early Learning Challenge (RTT-ELC) QRIS Framework, F5CA's past and current program investments, and aligns with federal and state direction
- Maximizes investments and leverages non-First 5 dollars
- Creates a shared focus on a common desired result: thriving children and families



# First 5 IMPACT Overview and Design

# What is the purpose of First 5 IMPACT?

To support a network of local quality improvement systems to better coordinate, assess, and improve the quality of early learning settings.



# First 5 IMPACT Overview and Design

# What is the goal of First 5 IMPACT?

Through partnerships between First 5 California (F5CA) and counties, children ages 0 to 5 and their families thrive by increasing the number of high-quality early learning settings, including supporting and engaging families in the early learning process.



# **CA-QRIS Framework**

(formerly RTT-ELC Framework)

| Elements in the Rating Matrix (7)  | Elements in the CQI Pathways (8)   |  |  |
|--|--|--|--|
| CORE I: Child Development and School Readiness   |  |  |  |
| Child Observational Assessments     Developmental and Health Screenings  | <ol> <li>School Readiness</li> <li>Social-Emotional Development</li> <li>Health, Nutrition, and Physical Activity</li> </ol> |  |  |
| CORE II: Teachers and Teaching   |  |  |  |
| Qualifications for Lead Teacher/FCC Home     Owner Education and Professional     Development     Classroom Assessment Scoring System®     (CLASS®)    | Effective Teacher-Child Interactions     Professional Development  |  |  |
| CORE III: Program and Environment  |  |  |  |
| <ul><li>5. Ratios and Group Size (Centers only)</li><li>6. Environment Rating Scales (ERS)</li><li>7. Director Qualifications (Centers only)</li></ul> | <ul><li>6. Environment</li><li>7. Program Administration</li><li>8. Family Engagement</li></ul>                              |  |  |



## **Alignment of First 5 IMPACT**

IMPACT is designed to align with and leverage other initiatives and funding sources to expand efforts and reach more diverse settings.

#### Those initiatives include:

- CSPP QRIS Block Grant
- Infant/Toddler QRIS Block Grant
- RTT-ELC
- Child Care and Development Block Grant Quality Improvement investments (e.g., CDE Quality Projects)
- Local quality projects



# **Alignment of First 5 IMPACT**

#### **F5 IMPACT and CSPP Block Grant – How do they work together?**

- The California State Preschool Program (CSPP) QRIS Block Grant and F5 IMPACT are both based on the California QRIS (Rating Matrix and Continuous Quality Improvement Pathways).
- In order to serve the full spectrum of program types, CSPP QRIS Block Grant funds support quality in CSPP programs, while F5 IMPACT funds support quality improvement in other program types.
- The CSPP QRIS Block Grant and F5 IMPACT are intended to align to each other so that local and regional efforts support an aligned Quality Improvement Systems (QIS)/QRIS across all program types using different funding streams.



# First 5 IMPACT Funding Structure

#### First 5 IMPACT funding supports the following four key areas:

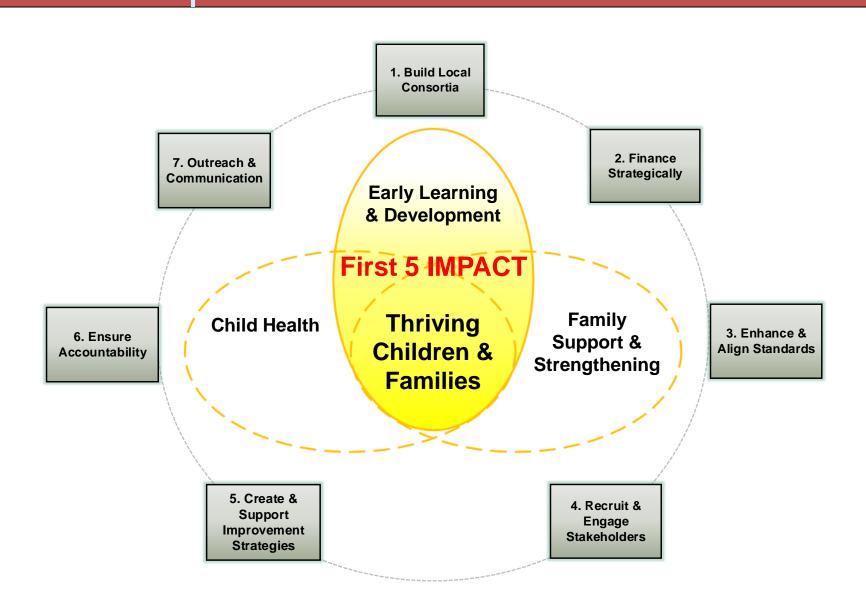
- County/Regional Allocations
- Regional Training and Technical Assistance (T&TA) Hubs
- State-level System Support and Statewide T&TA
- Evaluation and Research Projects

# The County/Regional Allocations will be distributed using the following layered funding approach:

- Base Layer Funding
- Implementation Step Layer Funding
- Incentive Layer Funding



# **Seven System Functions**





# First 5 IMPACT Implementation

#### What types of sites can participate in First 5 IMPACT?

A participating site is an early learning setting eligible to participate in First 5 IMPACT and may include the following:

- Licensed centers
- Licensed family child care homes (FCCH)
- License-exempt providers, such as centers and family, friend, and neighbor (FFN)
- Alternative settings encompass community and home-based settings which are not child care or preschool sites and provide early learning and school readiness services to parents and young children.



# First 5 IMPACT - Implementation Steps

|             | PORKIA                                    |  |                                |                  |
|-------------|---|--|--------------------------------|------------------|
| Step        | CA-QRIS Framework<br>Elements             | System Functions                               | Quality<br>Improvement<br>Plan | Tiered<br>Rating |
| Step 1 - QI | Minimum of one element:<br>Local decision | Minimum of six system functions, must include: | Not required                   | Not required     |

Build Local Consortia

Finance Strategically

Ensure Accountability

Strategies

All seven system functions

Recruit and Engage Participants

Create and Support Improvement

Enhance and Align Standards

Required of all sites

Required of all sites

Not required

Required

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Minimum of six system functions, must

include:

Minimum of four elements,

Interactions

Teacher-Child

All elements of Rating Matrix

From Pathways, must include:

Family Engagement

Teacher-Child Interactions

Family Engagement

must include:

Step 2 - QIS

Step 3 - QRIS



# First 5 IMPACT Design

# Regional Training and Technical Assistance (T&TA) Hubs – approximately \$18 million

T&TA Hubs provide the following:

- Basic coordination, project management, and systems-building activities (e.g., asset mapping) for counties participating in the T&TA Hub
- Specialized support for counties in the region and across the state, if needed, for at least one additional activity or element from the CA-QRIS and/or local IMPACT system function to increase county capacity or improve system efficiency (e.g., house a Regional Anchor for supporting QRIS assessors or master coaches)



# First 5 IMPACT Design

# State-Level Systems Support and Statewide T&TA – approximately \$28 million

First 5 IMPACT's state-level system supports and statewide T&TA include projects and resources in three major system areas:

- 1. System supports
- 2. Early educator workforce supports
- 3. Policy and public outreach supports

System Implementation

Assets

#### First 5 IMPACT (Improve and Maximize Programs so All Children Thrive)

**Public Will and Investment** 

#### **Child and Family Outcomes**

Children in higher-rated programs demonstrate readiness for and success in school

Families access a coordinated system of early childhood services Families use QRIS information to choose high-quality early learning programs

Communities invest in young children

#### Local and Site Outcomes

Early learning sites connect families to resources that strengthen and support families

Early learning sites participate in QI/QIS/QRIS and improve quality

Early educator workforce development leads to increased site quality Communities are aware of the importance of quality early learning experiences on children's outcomes

#### **System Functions**

#### Ensure Accountability

Rate and monitor early learning sites. Use data to guide continuous quality improvement and track progress on outcomes and benchmarks.

# Create and Support Continuous Quality Improvement

Use elements of the QRIS to connect continuous quality improvement activities within and across programs and systems.

#### Enhance and Align Standards

Use the QRIS to align standards within and across programs and systems and to integrate services and best practices.

#### Public Outreach and Communication

Build public awareness and broader community understanding and support for QRIS. Increase parent demand for rated programs and programs involved in continuous quality improvement.

#### Recruit and Engage Participants

Recruit diverse early learning sites and family child care providers to participate in local quality improvement efforts.

#### **Build Local Consortia**

Convene and strengthen local partnerships to articulate a shared understanding of roles and joint leadership to improve the quality of early learning.

#### **Finance Strategically**

Secure and leverage multiple funding streams to support a local QRIS.



**Vision**: Facilitate the creation and implementation of an integrated, comprehensive, and collaborative system of information and services to enhance optimal early childhood development and ensure California's children receive the best possible start in life and thrive.



#### First 5 IMPACT Evaluation Framework

#### What else does the First 5 IMPACT design include?

Data, Evaluation, and Research – approximately \$24 million

#### 1. Local County Context

- What are the elements that define a county's early learning system framed by First 5 IMPACT and its Implementation Step participation?
- What are the dynamics (behaviors, relationships, perspectives) of and among the system partners (consortium, sites, early educators, families, and community)?

#### 2. Design and Implementation

What is happening?

What are the implementation

strategies designed and implemented
by the county and state to improve
outcomes and change the system
dynamics (e.g., implementation of the
CA-QRIS elements and First 5 IMPACT
system functions)?

3. Local and Site Outcomes
! (Intermediate)

How well is it working?

- How are dynamics changing?
- How are implementation strategies evolving?

Impact of First 5
 IMPACT (Child and Family Outcomes, System)

Did it make a difference?

How did F5CA
funding help
catalyze systems
change, including
family access to
supports, site quality
improvement, and
child outcomes?



#### First 5 IMPACT Evaluation Responsibilities

#### **Evaluation Activities**

Lead Agencies will participate in the following program and evaluation activities:

- First 5 IMPACT High-Quality Action Plans including Performance Measure Targets and Asset Mapping
- First 5 IMPACT APR
- First 5 IMPACT Common Data Fields. Appendix I provides a draft summary of the common data fields to be submitted, including differing data elements for Implementation Steps 1, 2, and 3.
- Evaluation Workgroup and Subgroups. A multi-county evaluation workgroup will provide a collaborative forum for monitoring and proposing successful evaluation activities focused on programs, early educator, family, and community outcomes. Workgroup meetings may be conducted in-person or virtually, as needed.
- Lead Agency surveys to assess emerging system issues.



#### First 5 IMPACT Evaluation Responsibilities

#### **Data Systems**

Lead Agencies will participate in the use, development, or revision of data systems for First 5 IMPACT, including:

- Use of a QRIS data system (developed locally or using an external system, county or T&TA Hub-based) to manage and prepare data for the site-based common data field file submission. Electronic file validation methods may be used by F5CA before the common data fields file is accepted from a Lead Agency.
- Participation in T&TA Hub (workgroups) and county mentoring related to data systems planning, development, and implementation.
- Possible use of the F5CA CARES Plus data systems, depending on county and regional data needs assessment, in collaboration with T&TA Hub data system planning.
- Possible use of the California Early Care and Education Workforce Registry (Registry).
   As the Registry expands beyond the current pilot phase, F5CA and Consortia will explore using this data system to track ongoing professional development of early educators and sites supported by First 5 IMPACT.

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# First 5 IMPACT Funding Application

#### Who is eligible to apply?

- **First 5 county commissions** have priority and first right of refusal to apply for First 5 IMPACT funding and to serve as the Lead Agency in their respective counties.
- In the event a First 5 county commission chooses not to act as the Lead Agency, First 5 California will accept a Letter of Intent from a Local Public Entity (LPE). All Lead Agencies, if other than a First 5 county commission, will be required to provide evidence of existing capacity to carry out and implement similar programs, and to meet the specific program and fiscal requirements of First 5 IMPACT.
- A First 5 county commission or LPE also may serve as the Lead Agency for a **geographic region** or group of counties (regional consortia).



# First 5 IMPACT – 2015 Key Dates

#### First 5 IMPACT

Release of F5 IMPACT Request for Application (RFA) – July 1, 2015

Due date for F5 IMPACT Letter of Intent –July 13, 2015 (July 20 for LPE)

Lead Agencies will include an original signature from their executive director and indicate level of participation.

<u>Due date for Phase 1 First 5 IMPACT RFA</u> – **August 10, 2015** 

<u>Due date for Phase 2 of First 5 IMPACT RFA</u> – **November 20, 2015** 

#### **T&TA Hubs**

Release of Regional T&TA Hubs RFA – August 2015

Due date for Regional T&TA Hubs RFA - Fall 2015

**Note:** Counties must designate the lead regional T&TA Hub and which counties will be supported through this funding.



#### What are the requirements of First 5 IMPACT?

- Comply with the data and reporting requirements of this grant.
- Focus on the Early Learning and Development System by using funds to increase the quality of early learning in their county/region by incorporating elements of the CA-QRIS and moving toward a systems approach to quality improvement.
- Include within the system the full spectrum of early learning settings serving children birth through age 5 (licensed and license-exempt, centers, and FCC homes) and prioritize services to programs and sites serving children and families with high needs.
- In year two, for sites participating in F5 IMPACT:
  - 10 percent must serve infants/toddlers
  - 15 percent must be FCC homes



#### What are the requirements of First 5 IMPACT?

- Serve a minimum number of sites (targets) aligned to the total five-year
   IMPACT funding amount for their county or region.
- Select an Implementation Step based on the current capacity of the majority of sites in the consortium.
- Use evidence-based models/frameworks, promising practices models/frameworks, high-quality local models, or promising practice local models.
- Use First 5 IMPACT funds to support administrative, program, and evaluation services incorporated within the First 5 IMPACT system functions. This includes public will and messaging campaigns on quality and connections to early brain development (e.g., links to the *Talk. Read. Sing.* campaign).



#### What are the site target requirements of First 5 IMPACT?

| Fiscal Year                   | Requirement  |
|-------------------------------|--|
| 2015–16                       | All consortia must have participating sites but do not need to meet target requirements.   |
| 2016–17                       | The 16 counties participating as original Consortia in the RTT-ELC grant and the additional seven CSPP QRIS Block Grant Priority 1B Lead Agencies must meet targets annually from fiscal year 2016-17 through 2019-20 and must make tiered ratings publicly available (Step 3 only).  All other consortia must have participating sites but do not need to meet target requirements. |
| 2017–18<br>through<br>2019–20 | All participating consortia must meet targets annually and make tiered ratings publicly available (Step 3 only).   |



#### What are the participating site requirements?

#### All Steps:

The site administrator must agree to participation of the entire site.

#### Steps 2 and 3:

- Participating sites must complete a site improvement plan for CQI. This requirement may be met if a similar plan, such as a Head Start plan or CSPP plan, is already in place. These plans must be retained at the early learning site and a copy with the Lead Agency.
- If a consortium includes a deeper early educator focus (e.g., MyTeachingPartner<sup>TM</sup>, local CARES Plus model), educators must be employed at a participating First 5 IMPACT site and must complete an individual professional development or Professional Growth Plan. At least 50 percent of teaching staff must participate, as well as the site administrator.



#### What are the Phase 1 requirements?

Due date for Phase 1 First 5 IMPACT RFA – August 10, 2015

Upon approval of the Phase 1 First 5 IMPACT RFA, counties will have access to Base Layer funding. Each participating Lead Agency must complete a Phase 1 Application, which includes the following:

- Consortium structure (single county or multi-county region)
- Targets, as specified in the RFA, OR reduced targets
- Implementation Step
- Reimbursement Schedule
- Asset Map



#### What are the Phase 2 requirements?

<u>Due date for Phase 2 of First 5 IMPACT RFA</u> — **November 20, 2015** Upon approval of the Phase 2 First 5 IMPACT RFA, counties will have access to Step Layer funding. For approval and completion of the Phase 2 RFA, counties need to submit the forms below:

- High-Quality Action Plan (Form 3)
  - Build Local Consortia
  - Finance Strategically
  - o Enhance and Align Standards
  - Recruit and Engage Participants
  - Create and Support Improvement Strategies
  - Ensure Accountability
  - Outreach and Communication
- First 5 IMPACT Five-Year Budget Table (Form 4)
- First 5 IMPACT Annual Budget and Reimbursement Table (Form 5)
- First 5 IMPACT Annual Budget Narrative (Form 6)
- First 5 IMPACT Performance Measure Targets (Form 7)



### **Base Layer**

- Accounts for approximately 30 percent of County/Region Allocation funds, or \$36 million.
- Applicants will be funded based on two factors that are equally weighted:
  - 1. the number of children ages 0 to 5 in poverty, and
  - 2. number of licensed facilities located within the county.
- The funding factors are based on data at a specific point in time and will not change for the duration of First 5 IMPACT.
- Lead Agencies will have access to Base Layer funding and will be authorized for reimbursement of expenditures upon approval of the Phase 1 application.



# **Implementation Step Layer**

- Accounts for approximately 63 percent of the County/Region Allocation funds, or \$75 million.
- Lead Agencies will be funded via a formula derived from:
  - 1. the number of participating sites; and
  - 2. the Implementation Step in which the majority of the sites (51 percent or more) are participating (e.g., if 51 percent of sites are in Step 3-QRIS, 40 percent are in Step 2-QIS, and 9 percent in Step 1-QI, the county/region in entirety would be funded at the Step 3-QRIS determination).
- Implementation Steps have been assigned a multiplier that increases from Step 1 to Step 3 to account for the increased costs associated with increased requirements.
- If a majority of sites (51 percent or more) does not exist, the consortium's funding will be based on Step 2.



#### **Site Definitions for Funding Purposes**

#### **Large Sites**

Lead Agencies with large participating sites (eight or more classrooms) have the opportunity to count a site as more than one (1). Large sites may be counted as follows:

- 8 or more physical classrooms are equivalent to two (2) sites.
- 12 or more physical classrooms are equivalent to three (3) sites.
- 16 or more physical classrooms are equivalent to four (4) sites.

A classroom is considered a separate, indoor, physical space that is fully utilized at the site as an early learning environment on a regular, daily basis.



## **Site Definitions for Funding Purposes**

#### **Alternative Settings**

- FFN cohorts of 5 or more providers may be counted as one (1) site.
- A home visiting program with up to 15 home visitors may be counted as one (1) site. A home visiting program with 16 or more home visitors may be counted as two (2) sites.
- An alternative program, such as a Family Resource Center (FRC), may be counted as one (1) site.



## **Incentive Layer**

- Accounts for approximately 8 percent of the County/Region Allocation funds, or \$9 million.
- Incentivizes participants to <u>exceed</u> the target number of sites identified by F5CA in the Five-Year Funding Projections and Site Targets and/or exceed the level of quality improvement (Step) identified in their Phase 1 application at some point during the duration of First 5 IMPACT.



# **Access to Incentive Layer**

Access to Incentive Layer funding will begin in FY 2016–17 if eligible criteria identified in the High-Quality Action Plan and participating site targets are met. Funding amounts may vary from year to year depending on availability and will be prioritized as follows:

- Counties serving participating sites above and beyond pre-determined targets
- Step 3-funded counties rating 75 percent or more of target sites (additional funding will be provided for the number of sites being rated in excess of the 75 percent)
- Participating counties/regions moving from Step 2 to Step 3 during the duration of First 5 IMPACT
- Other scenarios meeting the conditions described in the previous slide



# First 5 IMPACT funds are not to be used for the following:

- Supplant the CSPP or Infant/Toddler QRIS Block Grant
- Fund direct services, such as home visiting and early learning slots
- Support only one program type or sites that are at one specific level of quality (e.g., only preschool programs at Tier 5)
- Assess beyond the RTT-ELC Implementation Guide requirements



| BUDGET CATEGORY                                       | Local Administration*                   | Create & Support<br>Improvement<br>Strategies | En sure<br>Accountability | Outreach &<br>Communication | TOTALS |
|---|---|---|---------------------------|-----------------------------|--------|
| Personnel   |   |   |                           |                             |        |
| Salaries  |   |   |                           |                             | \$0    |
| Benefits  |   |   |                           |                             | \$0    |
| A. TOTAL PERSONNEL                                    | \$0                                     | \$0   | \$0                       | \$0                         | \$0    |
| Operating   |   |   |                           |                             |        |
| Materials and Supplies                                |   |   |                           |                             | \$0    |
| Travel  |   |   |                           |                             | \$0    |
| Equipment (unit cost of less than \$2,500)            |   |   |                           |                             | \$0    |
| Incentives/Stipends                                   |   |   |                           |                             | \$0    |
| Contractual   |   |   |                           |                             | \$0    |
| Other. {please specify}                               |   |   |                           |                             | \$0    |
| B. TOTAL OPERATING                                    | \$0                                     | \$0   | \$0                       | \$0                         | \$0    |
|   |   |   |                           |                             |        |
| C. TOTAL DIRECT COSTS (A+B)                           | \$0                                     | \$0   | \$0                       | \$0                         | \$0    |
| Indirect Costs  |   |   |                           |                             |        |
| Costs Not Subject to Indirect                         |   |   |                           |                             | \$0    |
| Total Costs Used to Calculate Indirect                | \$0                                     | \$0   |                           | \$0                         |        |
| D. INDIRECT COSTS (CANNOT EXCEED 15%) 0.00%           | \$0                                     | \$0   | \$0                       | \$0                         | \$0    |
|   |   |   |                           |                             |        |
| E. TOTAL FIRST 5 IMPACT FUNDS (C+D)                   | \$0                                     |   | \$0                       | \$0                         | \$0    |
| Match and/or Leveraged Funds to Support Local First 5 | IMPACT Efforts                          |   |                           |                             |        |
| First 5 County Commission                             | 1                                       |   |                           |                             | \$0    |
| California Department of Education                    | 1                                       | <u> </u>                                      |                           | ,                           | \$0    |
| County Office of Education                            | 1                                       | , , , , , , , , , , , , , , , , , , ,         |                           |                             | \$0    |
| County Health and Human Services                      | † · · · · · · · · · · · · · · · · · · · | , , , , , , , , , , , , , , , , , , ,         |                           | ,                           | \$0    |
| School District                                       | 1                                       | 1   |                           |                             | \$0    |
| Donations   | '                                       | · ·   |                           |                             | \$0    |
| Grants  | † · · · · · · · · · · · · · · · · · · · | , , , , , , , , , , , , , , , , , , ,         |                           |                             | \$0    |
| Other. {please specify}                               | ,                                       | · ·   |                           |                             | \$0    |
| F. TOTAL MATCH/LEVERAGED FUNDS                        | \$0                                     | \$0   | \$0                       | \$0                         | \$0    |
|   |   |   |                           |                             |        |
| G. TOTAL FUNDS TO SUPPORT FIRST 5 IMPACT (E+F)        | \$0                                     | \$0   | \$0                       | \$0                         | \$0    |

I, the official named below, hereby request First 5 IMPACT funding in the amounts represented in the above Table. I certify that the information represented on this form (Form 5) and in the First 5 IMPACT Budget Narrative (Form 6) are true and correct to the best of my knowledge and compliant with the requirements of the First 5 IMPACT RFA.

| Name of Lead Agency:   | Fiscal Year: | Reporting Period: | First 5 California Only |    |
|--|--------------|-------------------|-------------------------|----|
| Name of Lead Agency Executive Director or Authorized Designee: |              |                   | Fiscal Analyst:         |    |
|  |              |                   | Program<br>Consultant:  |    |
| Signature (please sign and date above)                         |              |                   | Division Manager:       | 33 |

<sup>\*</sup> Costs associated with the following system functions: Build Local Consortia, Finance Strategically, Enhance & Align Standards, and Recruit & Engage Stakeholders.



#### **Local Administration**

Personnel costs to administer/manage First 5 IMPACT

#### **Create and Support Improvement Strategies**

 Personnel and/or operating costs associated with continuous quality improvement activities for participating sites (e.g., coaching, professional development stipends, site-level incentives, etc.)

#### **Ensure Accountability**

 Personnel and/or operating costs associated with rating, assessing, monitoring, and evaluating local participating sites

#### Outreach and Communication

 Personnel and/or operating costs associated with disseminating information to parents and the public about quality (e.g., publication of site-level ratings, etc.)



#### First 5 IMPACT – Match/Leveraging Funds

#### What are the match funding requirements for First 5 IMPACT?

In order to achieve the required match/leverage ratios based on county size, lead agencies have the option of choosing one or a combination of both options below:

- **1. Cash Match** a contribution by the lead agency toward eligible costs of their local IMPACT efforts in the form of cash, in-kind, or donated materials.
- **2. Leveraged Funds** Leveraged funds are a financial commitment towards the cost of projects related to F5 IMPACT from a source other than the county lead agency.

| Size        | Ratio<br>(State/Local) | County  |
|-------------|------------------------|---|
| Very Small  | 6:1                    | Alpine, Amador, Inyo, Lassen, Mariposa, Modoc, Mono, Plumas, Sierra, Siskiyou, Trinity, Tuolumne  |
| Small       | 5:1                    | Calaveras, Colusa, Del Norte, El Dorado, Glenn, Kings, Lake, Mendocino, Napa, Nevada, San Benito, Sutter, Tehama, Yuba                  |
| Medium      | 4:1                    | Butte, Humboldt, Imperial, Madera, Marin, Merced, Placer, San Luis Obispo, Santa Cruz, Shasta, Yolo                                     |
| Large       | 3:1                    | Contra Costa, Fresno, Kern, Monterey, San Francisco, San Joaquin, San Mateo, Santa Barbara, Solano, Sonoma, Stanislaus, Tulare, Ventura |
| Very Large  | 2:1                    | Alameda, Orange, Riverside, Sacramento, San Bernardino, San Diego, Santa Clara  |
| Extra Large | 1:1                    | Los Angeles   |

**Note:** All cash match or leveraged funds must be measurable and quantifiable in dollars, and must include a minimum of one non-state funding source.



#### First 5 IMPACT

#### **Contact Information**

First 5 IMPACT Webpage:

http://www.ccfc.ca.gov/programs/programs\_impact.html

First 5 IMPACT E-mail:

F5IMPACT@ccfc.ca.gov



# Questions?

